

Information notice pursuant to article 13 Regulation (EU) 2016/679 ("GDPR")

With this document ("**Information Notice**"), the Data Controller, as defined below, wishes to inform you about the purposes and methods of the processing of your personal data and the rights that the Regulation (EU) 2016/679 ("**GDPR**") grants you with.

1. Who is the Data Controller

The Data Controller is Consorzio ABI Lab, Centro di Ricerca e Innovazione per la Banca, Piazza del Gesù, 49 - 00186 Rome, e-mail address info@abilab.it ("**Data Controller**" or "**ABI Lab**"). The Data Controller may be contacted in order to exercise your rights, as well as to receive any information relating to the processing and/or to this Information Notice, by writing to dpo@abilab.it.

In the context of some of the processing activities listed below ABI Lab might process your personal data together with R3 HoldCo LLC, a U.S.-based company and its subsidiary ("**R3**") - you might find more details about R3 and its information notice here: <https://www.r3.com/full-privacy-policy/>. To this regard, ABI Lab and R3 have entered into a dedicate joint controllership agreement under article 26, GDPR (respectively, "**Joint Controllers**" and "**Joint Controllership Agreement**"). You might have access to the essence of the Joint Controllership Agreement by contacting each Joint Controller. Activities in which ABI Lab and R3 process your personal data as Joint Controllers are expressly indicated here below.

2. Data Protection Officer

The Data Controller has designated a data protection officer ("**DPO**"). The DPO can be contacted by email at dpo@abilab.it.

3. What personal data we process

For the purposes indicated below within the Information Notice, the Data Controller will process, in the context of your participation in the event ("**Event**"), the following information about you: first name, last name, job title, company, email address and country ("**Data**").

4. Purposes of the processing, related legal bases and provision of Data

a) Participation in the Event - Joint controllership with R3

Your Data will be processed by the Joint Controllers for the purpose of managing your participation in the Event and related obligations.

The legal basis of the processing consists in the need for the Joint Controllers to fulfil your request to participate in the Event pursuant to art. 6, par. 1, lett. b), GDPR.

The provision of the Data is optional; however, the refusal to provide the Data might make it impossible for ABI Lab and/or R3 to follow up your request to participate in the Event.

b) **Sending information about the Event and other similar events, and/or further services and initiatives of the Data Controller**

After the event has taken place, the Data Controller may send you by e-mail information about the Event or future similar events organized by ABI Lab and/or its partners (as well as relevant follow up communications) and/or about services and/or initiatives of the Data Controller.

The legal basis for the processing is the legitimate interest of the Controller to keep you informed about the Event, other events, services and/or initiatives of the Data Controller in line with your potential interest pursuant to art. 6, par. 1, lett. f), GDPR.

The provision of Data for this purpose is optional. If you decide not to provide your Data, the Data Controller will not be able to send you information about the Event, future similar events and/or further services and/or initiatives of the Data Controller. On this regard, please be informed that you have the right to object to the sending of such communications, at any time and free of charge, by following the link to unsubscribe available in the footer of each communication or invitation you might receive or by writing an email to the address indicated above; in case you exercise said right, it would not be possible for the Data Controller to send you further communications or invitation in future.

5. Data Retention Period

For the purposes described under point 4 above, Data will be kept for the time strictly necessary to manage your participation in the Event and thereafter for a period of 24 months, without prejudice to your right to object to the processing.

6. How the Data will be processed

The Data will be processed, in compliance with the provisions of the GDPR, by means of paper, computer and telematic tools, with logic strictly related to the purposes indicated and, in any case, in such a way as to ensure the security and confidentiality in accordance with the provisions of art. 32, GDPR.

7. Which subjects might access Data

For the purposes described under point 4 above, Data might be made accessible to employees, assimilated personnel and the staff of the Data Controller, who will act as persons authorized to the processing.

For the purposes related to the organization and management of the Event, as ABI Lab and R3 act as Joint Controllers, your Data might be processed also by R3. You might find more information about processing performed by R3 at the following link:
<https://www.r3.com/full-privacy-policy/>.

Moreover, ABI Lab may disclose your Data to third parties which provide the Data Controller with services necessary and/or functional to permit your participation in the Event, to satisfy your request(s), and/or related to the management of activities relevant to the purposes set forth above. In particular, Data may be disclosed to organizations that support the Data Controller in organizing and/or managing the Event and/or sending you information, communication and/or invitations, or which provide the Data Controller with services ancillary to said activities, including providers of IT services, and/or external consultants and providers in general. Said third parties shall process Data as data processors. The Data Controller keeps the list of data processors up to date; you might ask for having access to said list by contacting the Data Controller at one of the addresses indicated in point 1 or 2 of this Information Notice.

The Data Controller may also disclose your Data to third parties to which said disclosure is provided for as a legal obligation, to public authorities, to other legal entities that are partner of ABI Lab in the organization of the Event, and, where applicable, to banking institutions that the Data Controller uses to make payments and/or other financial

institutions, to international courier delivery services companies, and/or to insurance companies. As a rule, those entities will process Data as independent data controller.

8. Transfers of Data to third countries outside the EEA

By submitting your request to take part to the Event you are aware your Data will be processed for the purposes set forth under par. 4(a) above also by R3, which is a U.S.-based company acting as Joint Controller in the organization and management of the Event.

On top of this, for the purposes as set forth above, Data might be transferred to third party companies established in countries outside the European Economic Area, which are partner of the Data Controller in organizing the Event and/or offer services relevant to the processing carried out by the Data Controller. Data processed by the Data Controller are stored on servers located in Italy. However, where strictly necessary, the location of the Data may be transferred outside the European Union.

The mentioned transfers, whether the case be, shall take place only if the requirements provided for by the GDPR are fulfilled. In particular, on a case-by-case basis, the transfer might take place upon an adequacy decision issued by the European Commission, by the adoption of standard contractual clauses and/or upon any other appropriate safeguard provided for by the Regulation being implemented.

You might ask for information as to the countries to which your Data has been transferred, by contacting the Data Controller at one of the addresses indicated in point 1 or 2 of this Information Notice.

9. Your rights as data subject

You, as data subject, have the right to obtain from the Data Controller, in the cases provided for by the GDPR, access to your personal data, rectification or erasure of the same or limitation of the processing concerning them; you also have the right to object to the processing (art. 15 and following, GDPR), revoke at any time the consents previously given, as well as to lodge a complaint with the Italian Data Protection Supervisory Authority, as provided for by art. 77, GDPR, or to take legal action (art. 79, GDPR).

You might contact the Data Controller in order to exercise of your rights, as well as to receive any information relating to the same and/or this Information notice, by writing to: dpo@abilab.it.

Finally, ABI Lab inform you that, should you wish to exercise your rights, you might be requested to provide the Data Controller with the information necessary to confirm your identity.

As far as your request to exercise your right is relevant to a processing in which ABI Lab processes Data as Joint Controller together with R3, you might exercise your rights under GDPR in respect of and against each of Joint Controllers. More information as to how exercise your rights in respect of R3 are available at the following link:

<https://www.r3.com/full-privacy-policy/>.